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Docket No. : 2035.008A

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Addressed to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Applicant(s): Voute et al.

Confirmation No. : 1697

Serial No. : 10/735,378

Group Art Unit: 3744

Filed: 12/12/2003

Examiner: Unknown

Title: SCALED DOWN FREEZING AND THAWING SYSTEM FOR  
BIOPHARMACEUTICALS AND BIOLOGICS

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is being:

- ☐ submitted together with the application being filed herein; or
- ☐ submitted for the first time; or
- ☒ submitted as a Supplemental Information Disclosure Statement in addition to an Information Disclosure Statement, which was
- ☐ filed on \_\_\_\_\_; or
- ☒ filed together with the application on 12/12/2003, and such submission is being made:
- ☒ under 37 CFR 1.97(b), within three months of filing national application or date of entry of international application, or before mailing date of first office action on the merits, whichever occurs last; or
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Statement under 37 CFR 1.97(e); or
- ☐ \$180.00 fee under 37 CFR 1.17(p), after the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first; or
- ☐ under 37 CFR 1.97(d), filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee, together with a:
- ☐ Statement under 37 CFR 1.97(e); and
- ☐ \$180.00 fee under 37 CFR 1.17(p);
- ☒ and, furthermore, please note that this Information Disclosure Statement is being submitted:
- ☒ to disclose references cited in the Search Report in a related foreign application; and
- ☒ within 30 days of receipt of the Search Report (see 37 CFR 1.704(d)).
- ☐ Comment(s):

Applicant(s) submit herewith an Information Disclosure Citation form listing patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application, and for which there may be a duty to disclose in accordance with 37 CFR 1.56, together with any required copies of the listed references.

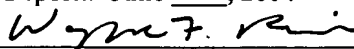
It is requested that the information disclosed herein be made of record in this application.

**CERTIFICATE OF MAILING**

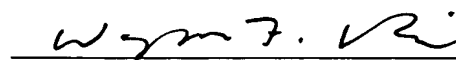
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

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Date of Deposit: June 8, 2004


  
Wayne F. Reinke

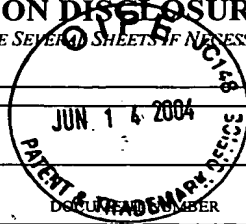
Respectfully submitted,

  
Wayne F. Reinke

Attorney for Applicant(s)  
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Dated: June 8, 2004

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|   |                                     |                          |
|---|-------------------------------------|--------------------------|
| <br><b>SUPPLEMENTAL<br/>INFORMATION DISCLOSURE CITATION</b><br>(USE SEVERAL SHEETS IF NECESSARY) | ATTY DOCKET NO.<br>2035.008A        | SERIAL NO.<br>10/735,378 |
|   | APPLICANT(S)<br><b>VOUTE ET AL.</b> |                          |
|   | FILING DATE<br>12/12/2003           | GROUP<br>3744            |



| U.S. PATENT DOCUMENTS |    |                 |            |                    |       |          |                               |
|-----------------------|----|-----------------|------------|--------------------|-------|----------|-------------------------------|
| *EXAMINER<br>INITIAL  |    | DOCUMENT NUMBER | DATE       | NAME               | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|                       | BA | 5,205,128       | 04/27/1993 | Richard            | 62    | 63       |                               |
|                       | BB | 5,022,236       | 06/11/1991 | Knippscheer et al. | 62    | 529      |                               |
|                       |    |                 |            |                    |       |          |                               |
|                       |    |                 |            |                    |       |          |                               |
|                       |    |                 |            |                    |       |          |                               |
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| FOREIGN PATENT DOCUMENTS |    |                 |            |         |       |          |             |     |          |
|--------------------------|----|-----------------|------------|---------|-------|----------|-------------|-----|----------|
|                          |    | DOCUMENT NUMBER | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION |     |          |
|                          |    |                 |            |         |       |          | NO          | YES | ABSTRACT |
|                          | CA | WO 03/037082 A1 | 05/08/2003 | PCT     | A01N  | 1/00     |             | ✓   |          |
|                          | CB | WO 03/006899 A1 | 01/23/2003 | PCT     | F25D  | 3/08     |             | ✓   |          |
|                          | CC | WO 01/50852 A2  | 07/19/2001 | PCT     | A01N  | 1/02     |             | ✓   |          |
|                          | CD | EP 1 134 000 A2 | 09/19/2001 | EPO     | A61M  | 1/02     |             |     | ✓        |
|                          | CE | WO 98/34078     | 08/06/1998 | PCT     | F25D  | 31/00    |             | ✓   |          |
|                          | CF | WO 98/23907     | 06/04/1998 | PCT     | F25D  | 29/00    |             | ✓   |          |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |  |  |
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|---|------------------------|
| <b>EXAMINER</b>   | <b>DATE CONSIDERED</b> |
| EXAMINER: Initial here if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                        |

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Voute et al.**

Docket No.

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Application No.

**10/735,378**

Filing Date

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Examiner

**Unknown**

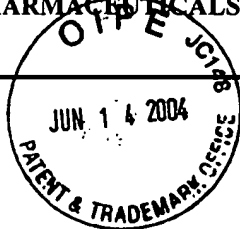
Customer No.

**23405**

Group Art Unit

**3744**

Invention: **SCALED DOWN FREEZING AND THAWING SYSTEM FOR  
BIOPHARMACEUTICALS AND BIOLOGICS**



I hereby certify that this **Supplemental Information Disclosure Citation (& six (6) foreign patent documents)**  
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **June 8, 2004**  
(Date)

**Wayne F. Reinke**

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature in dark ink, appearing to read "Wayne F. Reinke".

(Signature of Person Mailing Correspondence)

**Note: Each paper must have its own certificate of mailing.**